REGULAR ARTICLE

# **Chemical forces in terms of the electron density**

**J. Fernández Rico · R. López · I. Ema · G. Ramírez**

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**Abstract** A previous study of the small deformations produced by the molecular environment in the atomic densities has concluded that these deformations reflect and support all the concepts of the empirical structural chemistry. In the present work the study is extended to the chemical forces. Four types are distinguished: Van der Waals, Pauli, bonding and nuclear unscreening forces. For each type, the origin, the associated density deformations, the force generated by these deformations and the main role played by this force are investigated. It is stressed that Van der Waals complexes, usual molecules, conformers and chemical processes can be described in terms of these four forces, and are determined by the balance between them. It is proved that simple models for the density are often sufficient for semiquantitative predictions of forces, and can be very helpful for rationalizing the chemical properties and behavior. Developments are illustrated and supported with specific examples.

**Keywords** Serafín Fraga · Hellmann–Feynman · Molecular forces · Molecular density · Electrostatic theorem

#### **1 Introduction**

In 1928, P.A.M. Dirac wrote a celebrated sentence: *The underlying physical laws necessary for the mathematical*

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*theory of a large part of physics and the whole of chemistry are thus completely known, and the difficulty is only that the exact application of these laws leads to equations much too complicated to be soluble*. Around the 1960s, when computers started to be accesible to the scientific community, a group of young researchers faced the task of establishing firmly grounded theoretical methods, algorithms and computational programs for the application of those laws to chemistry. Prof. S. Fraga played an outstanding role in this exciting intelectual adventure. As recently reported [\[1](#page-12-0)] by a leader of this movement, E. Clementi, they succeded: a new branch of chemistry (Computational Chemistry) was born, and a new powerful tool was made available to chemists for investigating the properties of matter.

Nevertheless, Computational Chemistry does not exhaust the possibilities of Quantum Mechanics in chemistry. It is worldwide known that concepts, laws and language of chemistry were mostly developed on an empirical basis before the advent of Quantum Mechanics and, since the latter has the capabilities for explaining the whole chemistry, it should be able to give a theoretical support for them.

This fact was recognized in the early years of Quantum Mechanics (1930s–1950s) when Heitler, London, Pauling, Hellmann, Feynman, and many more made important contributions in this direction. However, in the following decades (from the 1960s on) the effort was moved towards computational aspects, and the findings of the previous period were gradually relegated, with few valuable exceptions, to the status of scientific curiosities.

Among these exceptions, we consider specially relevant the papers on the interpretation of the chemical behavior in terms of electron density and forces by Coulson [\[2](#page-12-1)], Hurley [\[3](#page-12-2)[–5](#page-12-3)], Berlin [\[6](#page-12-4)], Politzer [\[7](#page-12-5)[,8](#page-12-6)], Bader [\[9](#page-12-7)[–11](#page-12-8)], Stone [\[12\]](#page-12-9), and Deb [\[13\]](#page-12-10). This research line, very active in the late 1960s and early 1970s was almost abandoned in the following years,

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probably due to the lack of suitable tools for exploring its full capabilities. Our work takes on this line profitting from recent progress in these tools [\[14](#page-12-11)[–21](#page-12-12)].

Analyzing the implications of the Hellmann–Feynman theorem [\[22,](#page-12-13)[23\]](#page-12-14), we have recently stressed [\[24\]](#page-12-15) the basic role played in the chemical behavior by the small deformations caused in the atomic densities by the molecular environment. Moreover, we have studied [\[25](#page-12-16)] these deformations in an ample set of molecules and proved that they reflect and support all basic concepts of the empirical structural chemistry. The relationship between lone pairs, single, double and triple bonds, functional groups and so forth, with the density deformations being so tight that one can regard these chemical concepts as a symbolic representation of the latter.

In this paper, we extend the analysis to the chemical forces, i.e., to the forces acting on the nuclei. In the Born– Oppenheimer approximation (the paradigm of theoretical chemistry), these forces can be rigorously defined in two equivalent ways: from the derivatives of the electronic energy (including the nuclear repulsion) or from the electrostatic force exerted on nuclei by the whole charge distribution (electron density plus nuclear charges) of the molecule.

The first way only gives the net force on nuclei, being scarcely useful for descriptive and interpretative purposes. On the contrary, the second is plenty of chemical insight because it enables to know how forces depend on the shape of the charge distribution, and how parts of a system affect the forces acting on other parts.

It can be argued that, since physical effects are determined by net forces, every decomposition of them is arbitrary and useless. Note, however, that the decomposition of a force is not only correct but it is the usual way (and often the only way) to understand the forces acting in complex systems.

In this work we will stress that, in a nonrelativistic approach, chemical behavior can be described in terms of four basic forces: Van der Waals, Pauli, bonding and nuclear unscreening. We will also note that these four types of forces arise from the electron density, and examine and illustrate with specific examples which are the components of the density associated to everyone.

#### **2 Chemical forces: general approach**

Let  $\widehat{\mathcal{H}}$  be the electronic Hamiltonian of a molecule with *n* 

$$
V = \sum_{i=1}^{n} v(\mathbf{r}_i)
$$
 (1)

$$
V = \sum_{i=1}^{N} v(\mathbf{r}_i)
$$
(1)  

$$
v(\mathbf{r}) = -\sum_{A=1}^{N} \frac{\zeta_A}{|\mathbf{r} - \mathbf{R}_A|}
$$
(2)

where  $\zeta_A$  denotes the nuclear charge and  $\mathbf{R}_A = X_A \mathbf{i} + Y_A \mathbf{j} + Y_A \mathbf{k}$ *Z <sup>A</sup>* **k** the nuclear position.

Following the Born–Oppenheimer prescription, one must<br>solve<br> $\hat{\mathcal{H}} \Psi = E \Psi$  (3) solve

$$
\mathcal{H}\Psi = E\Psi \tag{3}
$$

and use

and use  
\n
$$
E_T = E + V_N = E + \sum_{A=1}^{N} \sum_{B < A} \frac{\zeta_A \zeta_B}{|\mathbf{R}_B - \mathbf{R}_A|}
$$
\n(4)

as the potential energy for nuclear motion. With this potential, the usual definition of force gives

<span id="page-1-0"></span>
$$
\mathbf{F}_A = -\nabla_A E_T \tag{5}
$$

where

$$
\nabla_A = \mathbf{i}\,\frac{\partial}{\partial X_A} + \mathbf{j}\,\frac{\partial}{\partial Y_A} + \mathbf{k}\,\frac{\partial}{\partial Z_A}.\tag{6}
$$

Since *E* changes with every parameter of  $v(\mathbf{r})$ , one can write  $E \equiv E(\mathbf{R}_1, \zeta_1, \dots, \mathbf{R}_N, \zeta_N)$  and similar expressions for *ET* and **F***A*, but the invariance of *E* under translation and rotation of the axes system introduces six constraints that reduce the number of independent parameters to  $3N - 6$ related to nuclear positions and  $N$  to nuclear charges. These constraints, can be expressed in terms of forces

related to nuclear positions and *N* to nuclear charges. These  
constraints, can be expressed in terms of forces  

$$
\sum_{A=1}^{N} \nabla_A E_T = 0 \iff \sum_{A=1}^{N} \mathbf{F}_A = 0 \tag{7}
$$

<span id="page-1-3"></span>
$$
\sum_{A=1}^{N} \nabla_{A} E_{T} = 0 \iff \sum_{A=1}^{N} \mathbf{F}_{A} = 0 \tag{7}
$$
  

$$
\sum_{A=1}^{N} \mathbf{R}_{A} \times \nabla_{A} E_{T} = 0 \iff \sum_{A=1}^{N} \mathbf{R}_{A} \times \mathbf{F}_{A} = 0 \tag{8}
$$

thus ensuring that the total force and torque of the system are zero.

The forces of Eq. [5](#page-1-0) are related to the electron density through the Hellmann–Feynman theorem [\[22](#page-12-13)[,23](#page-12-14)] which, according to Slater [\[26](#page-12-17)], is one of the most powerful theorems applicable to molecules. It can be derived in several equivalent ways [\[13](#page-12-10)[,27](#page-12-18)], but all of them lead to

<span id="page-1-2"></span>
$$
\frac{\partial E(\lambda)}{\partial \lambda_p} = \int \mathrm{d}\mathbf{r} \; \rho(\mathbf{r}, \lambda) \; \frac{\partial v(\mathbf{r}, \lambda)}{\partial \lambda_p} \tag{9}
$$

which is the Hellmann–Feynman theorem for  $\lambda_p$ ,  $\rho(\mathbf{r}, \lambda)$ being the electron density, *λ* being the set of parameters on which it depends.

Taking the space coordinates of nucleus *A* as parameters,<br>the theorem gives<br> $\mathbf{F}_A = -\nabla_A E_T = -\int d\mathbf{r} \rho(\mathbf{r}, \lambda) \nabla_A v(\mathbf{r}, \lambda) - \nabla_A V_N$ the theorem gives

<span id="page-1-1"></span>
$$
\mathbf{F}_A = -\nabla_A E_T = -\int \mathrm{d}\mathbf{r} \,\,\rho(\mathbf{r}, \lambda) \,\,\nabla_A v(\mathbf{r}, \lambda) - \nabla_A V_N \tag{10}
$$

In a fixed conformation, Eq. [10](#page-1-1) reads

<span id="page-2-3"></span>
$$
\mathbf{F}_A = \zeta_A \int \mathrm{d}\mathbf{r} \; \rho(\mathbf{r}, \lambda) \frac{\mathbf{r} - \mathbf{R}_A}{|\mathbf{r} - \mathbf{R}_A|^3} - \zeta_A \sum_{B \neq A} \zeta_B \frac{\mathbf{R}_B - \mathbf{R}_A}{|\mathbf{R}_B - \mathbf{R}_A|^3}
$$
(11)

<span id="page-2-1"></span>-

so that the force on nucleus *A* is the classical electrostatic force exerted on *A* by the electron cloud and the remaining nuclei.

We will consider now a configurational or reactive process in which the nuclei move along the path determined by a parameter  $\mu$ :  $\mathbf{R}_I(\mu) = \mathbf{i} X_I(\mu) + \mathbf{j} Y_I(\mu) + \mathbf{k} Z_I(\mu)$  and let  $\mu_1$  and  $\mu_2$  be two values of the path parameter. Then, the energy difference between the corresponding conformations is

<span id="page-2-0"></span>
$$
\Delta E_T = \int_{\mu_1}^{\mu_2} d\mu \int d\mathbf{r} \, \rho(\mathbf{r}, \mu) \, \frac{\partial}{\partial \mu} v(\mathbf{r}, \mu) + \Delta V_N
$$

$$
= \int_{\mu_1}^{\mu_2} d\mu \sum_{A=1}^N \mathbf{F}_A(\mu) \, \frac{\partial \mathbf{R}_A(\mu)}{\partial \mu} \tag{12}
$$

where the last equality comes from

where the last equality comes from  
\n
$$
\frac{\partial}{\partial \mu} v(\mathbf{r}, \mu) = \sum_{A=1}^{N} (\nabla_A v) \frac{\partial \mathbf{R}_A}{\partial \mu}.
$$
\n(13)

This is the integrated Hellmann–Feynman (electrostatic) theorem, again a classical expression that relates variations of energy with the work done by electrostatic forces.

The first integral of Eq. [12](#page-2-0) can be eliminated with the following argument. Let  $E(\mu_1)$ ,  $\Psi(\mu_1)$  and  $E(\mu_2)$ ,  $\Psi(\mu_2)$  be the initial and final energies and wavefunctions. Multiplying the eigenvalue equation at  $\mu_1$  by  $\Psi(\mu_2)$ , and that at  $\mu_2$  by W(μ<sub>1</sub>), integrating with respect to the electron coordinates,<br>
and subtracting the resulting expressions, one finds<br>  $ΔE = S_{21}^{-1}$  dr  $ρ_{21}$ (r)  $v_{21}$ (r) (14) and subtracting the resulting expressions, one finds

<span id="page-2-2"></span>
$$
\Delta E = S_{21}^{-1} \int d\mathbf{r} \, \rho_{21}(\mathbf{r}) \, v_{21}(\mathbf{r}) \tag{14}
$$

where  $S_{21}$  is the overlap of  $\Psi(\mu_1)$  and  $\Psi(\mu_2)$ ,  $\rho_{21}(\mathbf{r})$  is the transition density involving these functions, and  $v_{21}(\mathbf{r}) =$  $v(\mathbf{r}, \mu_2) - v(\mathbf{r}, \mu_1).$ 

This is the integral Hellmann–Feynman theorem [\[28](#page-12-19)[,29](#page-12-20)], which relates the difference of the electronic energy at two conformations with the change in the *electrostatic* energies of the nuclei, at fixed electron cloud determined by the normalized transition density.

Apart from the theoretical implications [\[30\]](#page-12-21), the Hellmann–Feynman theorem is a cornerstone in the interpretation of the chemical bond. Note for instance that there is an extended tendency to explain the bond directly from the features of the kinetic energy or the electron repulsion. However, by deriving the usual expression of the energy and using Eq. [9](#page-1-2) one finds

ī

$$
0 = \int d\mathbf{r} \, v(\mathbf{r}, \lambda) \, \frac{\partial \rho(\mathbf{r}, \lambda)}{\partial \lambda_p} + \int d\mathbf{r} \, \int d\mathbf{r}' \left[ \delta(\mathbf{r} - \mathbf{r}') \times \frac{1}{2} \, \nabla \, \nabla' \, \frac{\partial \widehat{\rho}(\mathbf{r}, \mathbf{r}', \lambda)}{\partial \lambda_p} + \frac{\partial}{\partial \lambda_p} \, \frac{\Gamma(\mathbf{r}, \mathbf{r}', \lambda)}{|\mathbf{r} - \mathbf{r}'|} \right] \tag{15}
$$
\nwhere  $\widehat{\rho}(\mathbf{r}, \mathbf{r}', \lambda)$  is the density matrix and  $\Gamma(\mathbf{r}, \mathbf{r}', \lambda)$  is the

ī

ī

f

pairs density. From Eq. [15,](#page-2-1) it follows that kinetic energy and electron repulsion are part of a constant along any conformational or reactive change (i.e., during the bond formation or breaking), and hence their changes cannot be directly responsible [\[31](#page-12-22)] for any change in the electron energy. The effect of these properties on energy is indirect: to know it, one must determine how they influence the density and analyze whether this influence gives rise to attractive forces or not.

As remarked before, the forces can be calculated from the energy [\[32\]](#page-12-23) Eq. [9](#page-1-2) or from the density Eq. [14.](#page-2-2) For the exact energy and density both ways give the same results, but this does not necessarily hold for approximated energy and density. In this case, one is faced with two different problems: how near are both sets of approximate forces,  $\mathbf{F}_A(\rho^{(ap)})$  and  $\mathbf{F}_A(E^{(ap)})$ , to the exact ones  $\mathbf{F}_A$ , and how near are to one another.

Since in a variational calculation, error boundary is unknown, it is not possible to answer the first question unless the exact forces are known, but the second question is affordable. In this regard, it is usually said that *the Hellmann–Feynman theorem is strictly fulfilled when the forces obtained from the gradient of an approximate energy equal those computed from the corresponding approximate density*.

The fulfillment of the electrostatic theorem was first studied by Hurley [\[3](#page-12-2)[–5](#page-12-3)] and next by other authors [\[33](#page-12-24)[–38](#page-12-25)] in terms of conditions on the basis sets that are not satisfied by the ones usually employed. Notice, however, that the important question in practice is the degree of fulfillment, which determines whether the electrostatic forces are sufficiently accurate to replace the energy gradient.

Following a pioneer work by Nakatsuji [\[39](#page-12-26)] on this subject, the problem has been recently readdressed [\[20](#page-12-27),[21\]](#page-12-12) to the search of criterions for obtaining moderate size basis sets of the usual type with a high degree of fulfillment of the theorem, and today high performance basis sets of Slater [\[21\]](#page-12-12) and Gaussian [\[40](#page-12-28)] types are available.

To end this section, we want to stress that Eqs. [\(11\)](#page-2-3) and [\(12\)](#page-2-0) evince the deep understanding of a chemical process provided by its approach from density. One can know not only the variations of energy but also the driving forces of the process, their contributions to the energy variation, what components of the density are responsible for these forces and how they are, where they are localized, and so forth. Clearly, suitable partitions of the density can be very helpful in this analysis but, when choosing them, one must be

aware that *the essential point in the density/forces/energy relationships is how the density evolves when the λ parameters vary*—i.e., how  $\rho(\mathbf{r}, \lambda)$  depends on  $\lambda$ —whereas most current descriptions are aimed at analyzing the dependence of ρ(**r**, *λ*) with **r** at fixed *λ*.

### **3 Van der Waals forces in terms of density**

Let  $\Psi^{\alpha}$ ,  $E^{\alpha}_{T}$  and  $\Psi^{\beta}$ ,  $E^{\beta}_{T}$  be the electronic wavefunctions and energies of two isolated systems containing, respectively,  $n_{\alpha}$ and  $n_\beta$  electrons and  $N_\alpha$  and  $N_\beta$  nuclei.

Assuming constant nuclear charges  $\Psi^{\alpha}$ ,  $E^{\alpha}_{T}$  and  $\Psi^{\beta}$ ,  $E^{\beta}_{T}$ will depend, respectively, on  $3N_\alpha$  –6 and  $3N_\beta$  –6 parameters,  $\lambda^{\alpha} = (\lambda_1^{\alpha}, \ldots, \lambda_{3N_{\alpha}-6}^{\alpha})$  and  $\lambda^{\beta} = (\lambda_1^{\beta}, \ldots, \lambda_{3N_{\beta}-6}^{\beta})$ , and each of their sets of  $3N_{\alpha}$  and  $3N_{\beta}$  forces will be constrained by six equalities like [\(7\)](#page-1-3) and [\(8\)](#page-1-3).

Let us consider now their adduct. Assigning electrons 1 by six equalities like (7) and (8).<br>Let us consider now their adduct. Assigning electrons 1<br>to  $n_{\alpha}$  to  $\hat{\mathcal{H}}^{\alpha}$  and  $n_{\alpha} + 1$  to  $n_{\alpha} + n_{\beta}$  to  $\hat{\mathcal{H}}^{\beta}$ , the electron hamiltonian can be written *H*α<sub>*h*α</sub> *H*α<sub>β</sub> + *H*α<br> *H*amiltonian can be writte<br>  $\hat{\mathcal{H}}^{\alpha\beta} = \hat{\mathcal{H}}^{\alpha} + \hat{\mathcal{H}}^{\beta} + \hat{\mathcal{H}}^{\alpha\beta}_{int}$ 

$$
\widehat{\mathcal{H}}^{\alpha\beta} = \widehat{\mathcal{H}}^{\alpha} + \widehat{\mathcal{H}}^{\beta} + \widehat{\mathcal{H}}^{\alpha\beta}_{int} \tag{16}
$$

<span id="page-3-4"></span>

with  
\n
$$
\widehat{\mathcal{H}}_{int}^{\alpha\beta} = -\sum_{i=1}^{n_{\alpha}} \sum_{B=1}^{N_{\beta}} \frac{\zeta_{B}}{|\mathbf{r}_{i} - \mathbf{R}_{B}|} - \sum_{j=n_{\alpha}+1}^{n_{\alpha}+n_{\beta}} \sum_{A=1}^{N_{\alpha}} \frac{\zeta_{A}}{|\mathbf{r}_{j} - \mathbf{R}_{A}|} + \sum_{i=1}^{n_{\alpha}} \sum_{j=n_{\alpha}+1}^{n_{\alpha}+n_{\beta}} \frac{1}{|\mathbf{r}_{i} - \mathbf{r}_{j}|}
$$
\n(17)

Since the adduct has  $N_A + N_B$  nuclei, the eigenfuctions,  $\Psi^{\alpha\beta}$ , and eigenvalues,  $E^{\alpha\beta}$ , of  $\widehat{\mathcal{H}}^{\alpha\beta}$  depend on  $3N_{\alpha} + 3N_{\beta} - 6$ parameters. With respect to the isolated systems, there are six additional degrees of freedom that, attaching somehow parallel axis systems to the two subsets of nuclei, can be associated to the relative translation and rotation of these systems.

Let us suppose that the distance between the two subsets is made larger and larger. Because of the nearly exponential decay of the wavefunction  $\Psi^{\alpha\beta}$  with the distance to the nuclei, it must tend to zero in the region between the two subsystems, and if we write the functions as an antisymmetrized<br>product of functions at  $\alpha$  and  $\beta$ <br> $\Psi^{\alpha\beta} = \sum \sum c_{pp'} \mathcal{A}(\Psi^{\alpha}_{p} \Psi^{\beta}_{p'})$  (18) product of functions at  $\alpha$  and  $\beta$ 

$$
\Psi^{\alpha\beta} = \sum_{p} \sum_{p'} c_{pp'} \mathcal{A}(\Psi_p^{\alpha} \Psi_{p'}^{\beta})
$$
\n(18)

the overlap between  $\Psi_p^{\alpha}$  and  $\Psi_{p'}^{\beta}$  will be zero, so that

<span id="page-3-0"></span>
$$
\rho^{\alpha\beta}(\mathbf{r}) = \rho^{\alpha}(\mathbf{r}) + \rho^{\beta}(\mathbf{r})
$$
\n(19)

$$
\Gamma^{\alpha\beta}(\mathbf{r}, \mathbf{r}') = \Gamma^{\alpha\alpha\alpha\alpha}(\mathbf{r}, \mathbf{r}') + \Gamma^{\beta\beta\beta\beta}(\mathbf{r}, \mathbf{r}') + \Gamma^{\alpha\alpha\beta\beta}(\mathbf{r}, \mathbf{r}')
$$
\n(20)

couples of basis functions centered either both at  $\alpha$  or both at  $\beta$ , but there will appear no cross terms. Moreover, the pairs density will contain pairs of couples both centered at  $\alpha$ ,  $\Gamma^{\alpha\alpha\alpha\alpha}(\mathbf{r}, \mathbf{r}')$ , or both at  $\beta$ ,  $\Gamma^{\beta\beta\beta\beta}(\mathbf{r}, \mathbf{r}')$ , or one at  $\alpha$  and another at  $\beta$ ,  $\Gamma^{\alpha\alpha\beta\beta}(\mathbf{r}, \mathbf{r}')$ .

In the LCAO context, this means that the density will contain

As a consequence of Eqs. [\(19\)](#page-3-0) and [\(20\)](#page-3-0), the terms asso- $\alpha$ , *I* was **(r, r**), or both at *p*, *I* PPPP **(r, r**), or one at α and<br>another at *β*,  $\Gamma^{\alpha\alpha}{}^{\beta\beta}$  (**r, r'**).<br>As a consequence of Eqs. (1[9](#page-1-2)) and (20), the terms asso-<br>ciated to  $\widehat{\mathcal{H}}_{int}^{\alpha\beta}$  in Eq. 9 will of the distances from the nuclei located at  $\alpha$  and those at  $\beta$  and, because this is a smooth decay, there will be a wide region of the conformational space where overlap is negligible but interactions are not. This is the region where the interaction of the two systems is entirely determined by the Van der Waals forces.

Note that for very long distances, where not only overlap of  $\Psi_p^{\alpha}$  with  $\Psi_{p'}^{\beta}$  is almost zero, but also the interaction can be neglected, one has:  $\Psi^{\alpha\beta} = \mathcal{A}(\Psi^{\alpha} \Psi^{\beta})$ , and therefore

<span id="page-3-1"></span>
$$
\rho^{\alpha\beta}(\mathbf{r}) = \rho_{is}^{\alpha}(\mathbf{r}) + \rho_{is}^{\beta}(\mathbf{r})
$$
(21)  

$$
\Gamma^{\alpha\beta}(\mathbf{r}, \mathbf{r}') = \Gamma_{is}^{\alpha\alpha\alpha\alpha}(\mathbf{r}, \mathbf{r}') + \Gamma_{is}^{\beta\beta\beta\beta}(\mathbf{r}, \mathbf{r}')
$$

$$
+ \frac{1}{2} \left[ \rho_{is}^{\alpha}(\mathbf{r}) \rho_{is}^{\beta}(\mathbf{r}') + \rho_{is}^{\alpha}(\mathbf{r}') \rho_{is}^{\beta}(\mathbf{r}) \right]
$$
(22)

where *is* refers to isolated systems. Notice, by the way, that the asymptotic fulfillment of Eq. [\(22\)](#page-3-1) is the condition for correct dissociation of a variational wavefunction.

The Van der Waals forces can be defined from the derivatives of the full energy  $E_T^{\alpha\beta}$ , with respect to the degrees of **F**α =  $\sum$ **F***A* **F**<sup>β</sup> =  $\sum$ **F**<sub>*B*</sub> **F**<sup>α</sup> =  $\sum$ **F**<sup>β</sup> =  $\$ systems, i.e., from the force and torque acting on them

<span id="page-3-2"></span>
$$
\mathbf{F}^{\alpha} = \sum_{A \in \alpha} \mathbf{F}_A \quad \mathbf{F}^{\beta} = \sum_{B \in \beta} \mathbf{F}_B \quad \mathbf{F}^{\alpha} = -\mathbf{F}^{\beta} \tag{23}
$$
\n
$$
\tau^{\alpha} = \sum \mathbf{R}_A \times \mathbf{F}_A \quad \tau^{\beta} = \sum \mathbf{R}_B \times \mathbf{F}_B \quad \tau^{\alpha} = -\tau^{\beta} \tag{24}
$$

$$
\tau^{\alpha} = \sum_{A \in \alpha} \mathbf{R}_{A} \times \mathbf{F}_{A} \quad \tau^{\beta} = \sum_{B \in \beta} \mathbf{R}_{B} \times \mathbf{F}_{B} \quad \tau^{\alpha} = -\tau^{\beta} \tag{24}
$$

where the last equalities come from Eqs. [\(7\)](#page-1-3) and [\(8\)](#page-1-3).

In order to gain insight on the relationship between the Van der Waals forces and the density, we will use Eqs. [\(11\)](#page-2-3), [\(19\)](#page-3-0) and [\(21\)](#page-3-1), to rewrite the first equation of [\(23\)](#page-3-2) which, taking into account that the net force on the isolated  $\alpha$  system is<br>
zero, becomes<br>  $\mathbf{F}^{\alpha} = \sum \zeta A \left[ \int d\mathbf{r} \ \Delta \rho^{\alpha}(\mathbf{r}) \frac{\mathbf{r} - \mathbf{R}_{A}}{\left|\mathbf{R} - \mathbf{R} \right|^{2}} + \int d\mathbf{r} \ \rho^{\beta}(\mathbf{r}) \right]$ zero, becomes

<span id="page-3-3"></span>
$$
\mathbf{F}^{\alpha} = \sum_{A \in \alpha} \zeta_A \left[ \int \mathrm{d}\mathbf{r} \; \Delta \rho^{\alpha}(\mathbf{r}) \; \frac{\mathbf{r} - \mathbf{R}_A}{|\mathbf{r} - \mathbf{R}_A|^3} + \int \mathrm{d}\mathbf{r} \; \rho^{\beta}(\mathbf{r}) \; \times \frac{\mathbf{r} - \mathbf{R}_A}{|\mathbf{r} - \mathbf{R}_A|^3} - \sum_{B \in \beta} \zeta_B \; \frac{\mathbf{R}_B - \mathbf{R}_A}{|\mathbf{R}_B - \mathbf{R}_A|^3} \right] \tag{25}
$$

where

$$
\Delta \rho^{\alpha}(\mathbf{r}) = \rho^{\alpha}(\mathbf{r}) - \rho_{is}^{\alpha}(\mathbf{r})
$$
\n(26)

In molecules, the two last terms of Eq. [\(25\)](#page-3-3) cancel out almost completely, so that the small changes undergone by the den-

sity of each system due to the presence of the other become determinant.

A nice enlightening illustation is provided by the description of the Van der Waals forces of  $H_2$  in terms of its density. As remarked above, at large distances, the density is almost zero in the region between the atoms and, therefore, it can be unambiguously expanded in real spherical harmonics—as<br>defined in appendix—times radial factors at each nucleus<br> $\rho^{\alpha\beta}(\mathbf{r}) = \sum \sum z_l^m(\mathbf{r}_{\alpha}) f_{lm}^{\alpha}(r_{\alpha}) + \sum \sum z_l^m(\mathbf{r}_{\beta}) f_{lm}^{\beta}(r_{\beta})$ defined in appendix—times radial factors at each nucleus

<span id="page-4-0"></span>
$$
\rho^{\alpha\beta}(\mathbf{r}) = \sum_{l} \sum_{m} z_l^m(\mathbf{r}_{\alpha}) f_{lm}^{\alpha}(r_{\alpha}) + \sum_{l} \sum_{m} z_l^m(\mathbf{r}_{\beta}) f_{lm}^{\beta}(r_{\beta})
$$
\n(27)

with  $\mathbf{r}_{\alpha} = \mathbf{r} - \mathbf{R}_{\alpha}$  and  $\mathbf{r}_{\beta} = \mathbf{r} - \mathbf{R}_{\beta}$ . This expansion stresses that the atomic densities, spherical for the isolated H atoms, will be deformed by the interaction. Notice that Eq.  $(27)$ is not an approximation but merely a representation of the density aimed at evincing its form: the terms with  $l = 1$ describe the dipole-type deformation, those with  $l = 2$ , the quadrupole-type deformation, and so forth.

As a specific example, we have carried out a CISD calculation of the density of  $H_2$  at an internuclear distance of 20 bohr with a [5,5,4,2,1] STO basis set using the SMILES [\[41](#page-12-29)[–43](#page-12-30)] package for integrals and MOLPRO [\[44](#page-12-31)[–48\]](#page-12-32) for energy optimization. The density has been expanded with DAM [\[14](#page-12-11)[–17\]](#page-12-33), according to Eq. [\(27\)](#page-4-0), yielding the terms with  $l = 1, 2$  and 3 depicted in Fig. [1.](#page-4-1)



<span id="page-4-1"></span>**Fig. 1** Density deformations responsible for the Van der Waals forces in H<sub>2</sub> computed at CI level. Contour surfaces of  $R^7 z_l^0(\mathbf{r}_\alpha) f_{l0}(r_\alpha)$  for *l* = 1, 2, 3 at *R* = 20 bohr. *Contour values* 1, 1/3, 1/10. *Dark surface* charge accumulation; *light surface* charge depletion

Using Eq. [\(27\)](#page-4-0), the force becomes

Ï

<span id="page-4-2"></span>Using Eq. (27), the force becomes  
\n
$$
\mathbf{F}^{\alpha} = \sum_{l} \sum_{m} \int \mathrm{d}\mathbf{r} \ z_{l}^{m}(\mathbf{r}_{\alpha}) \ f_{lm}^{\alpha}(r_{\alpha}) \ \frac{\mathbf{r} - \mathbf{R}_{\alpha}}{|\mathbf{r} - \mathbf{R}_{\alpha}|^{3}} + \sum_{l} \sum_{m} \int \mathrm{d}\mathbf{r} z_{l}^{m}(\mathbf{r}_{\beta}) f_{lm}^{\beta}(r_{\beta}) \frac{\mathbf{r} - \mathbf{R}_{\alpha}}{|\mathbf{r} - \mathbf{R}_{\alpha}|^{3}} - \frac{\mathbf{R}_{\beta} - \mathbf{R}_{\alpha}}{|\mathbf{R}_{\beta} - \mathbf{R}_{\alpha}|^{3}}.
$$
\n(28)

Integrations of Eq. [\(28\)](#page-4-2) are facilitated by adopting a lined up system with α *placed at the origin and* β *at a distance R on the negative z hemiaxis*. In such a system, it can be easily realized that  $F_x^{\alpha} = 0$ ,  $F_y^{\alpha} = 0$  and

<span id="page-4-3"></span>
$$
F_z^{\alpha} = \frac{4\pi}{3} \int_0^{\infty} dr_{\alpha} r_{\alpha} f_{10}^{\alpha}(r_{\alpha})
$$
  

$$
- \sum_{l=1}^{\infty} \frac{2}{R^{l+2}} \left[ \frac{4\pi}{2l+1} \int_0^{\infty} dr_{\beta} r_{\beta}^{2l+2} f_{l0}^{\beta}(r_{\beta}) \right]
$$
(29)

where  $R = |\mathbf{R}_{\beta} - \mathbf{R}_{\alpha}|$ , and the brackets are the multipolar moments  $Q_{l0}^{\beta}$ , of the cloud around nucleus  $\beta$ .

The first term in the r.h.s. of [\(28\)](#page-4-2) is the force exerted by the charge distribution of atom  $H_{\alpha}$  on its own nucleus. As it can be seen, this self-pulling (*internal*) force is entirely determined by the dipole-type term  $z_1^0(\mathbf{r}_\alpha) f_{10}^\alpha(r_\alpha)$ , of the density. The second and third terms are the force exerted by the H<sub>β</sub> atom (cloud and nucleus) on nucleus  $\alpha$ . At long distances, as considered here, the nucleus  $\beta$  is completely screened by the spherical part of its density and, therefore, the force exerted by this atom on nucleus  $\alpha$  is due to the dipole, quadrupole and higher order terms of its density. The DAM package is also capable of solving the integrals of Eq. [\(29\)](#page-4-3) and, for the density of the CISD calculation, it gives

<span id="page-4-4"></span>
$$
F_z^{\alpha}(20) = -0.3299 \cdot 10^{-7} - 0.1062 \cdot 10^{-9} = -0.3309 \cdot 10^{-7}
$$
\n(30)

all in atomic units  $(1au = 1 \text{ hartree/bohr})$ . The first number is the value of the self-pulling force, and the second one, that of the external force. Clearly, the Van der Waals force is mainly determined by the self-pulling force, as advanced by Feynman almost seventy years ago [\[22](#page-12-13)]. The leading term in the series of Eq. [\(29\)](#page-4-3), due to the dipole of  $\beta$ ,  $Q_{10}^{\beta} = 0.3404$ .  $10^{-6}$  au at 20 bohr, gives a contribution of  $-0.085 \cdot 10^{-9}$  au, which is only about a 0.2% of the total Van der Waals force.

Since one may have concerns about the adequacy of the CI method in this context, we have tested the CI results by comparing them with those of the perturbation theory. As noted in appendix, this theory allows one to write  $f_{10}^{\alpha}(r_A)$ and  $f_{10}^{\beta}(r_B)$  in the form



<span id="page-5-0"></span>**Fig. 2** Comparison of perturbative and CI dipole term in  $H_2$ . Values of  $R^7 z_1^0(\mathbf{r}_\alpha) f_{10}(r_\alpha)$  along the internuclear axis (*z*). *Dashed line* CI at

$$
R = 25 \text{ bohr}; \text{ dotted line CI at } R = 20 \text{ bohr}; \text{ solid line perturbative}
$$
\n
$$
f_{10}^{\alpha}(r) = e^{-2r} \left[ \frac{P_7(r)}{R^7} + \frac{P_9(r)}{R^9} + \cdots \right] \tag{31}
$$
\nwhere  $P_n(r) = \sum_{k=0}^{\infty} r^k c_k^{(n)}$  are power series independent.

<span id="page-5-1"></span>of *R*,  $c_k^{(n)}$  being numeric coefficients. The accurate calculation of the leading term  $P_7(r)$ , allows us to compare both approaches. In Fig. [2,](#page-5-0) the dipole-type deformations,  $R^7$   $z_1^0(\mathbf{r})$   $f_{10}(r)$ , obtained with CI calculations at  $R = 20$ and  $\overrightarrow{R}$  = 25 bohr are depicted together with the perturbative term  $z_1^0(\mathbf{r}) e^{-2r} P_7(r)$ . It can be seen not only a reasonable agreement but also a correct convergence of the CI results towards the perturbative ones when increasing *R*. Moreover, using the perturbative result in Eq. [\(29\)](#page-4-3) one has:

$$
F_z^{\alpha}(R) \approx -\frac{1}{R^7} 38.99416023 - \frac{2}{R^{10}} \cdot 394.511. \tag{32}
$$

Note that the first term contains the well known [\[49\]](#page-12-34)  $6C_6$ coefficient and the second is the contribution of the dipole  $Q_{10}^{\beta}$  in the series of Eq. [\(29\)](#page-4-3). At *R* = 20 bohr,  $Q_{10}^{\beta^+}$  = 0.3082 10−<sup>6</sup> au, and

$$
F_z^{\alpha}(20) \approx -0.3046 \cdot 10^{-7} - 0.0771 \cdot 10^{-10} = 0.3054 \cdot 10^{-7}
$$
\n(33)

which must be compared with the values of Eq. [\(30\)](#page-4-4) for the internal (self-pulling) and external components of the force. Comparison is satisfactory, bearing in mind the truncation of the perturbative solution to the term with  $P_7(r)$ —see Eq. [\(31\)](#page-5-1)—and the absence, in the perturbative external force of multipoles higher than  $Q_{10}$  on atom  $\beta$ —see Eq. [\(29\)](#page-4-3).

## **4 Pauli and bond forces in terms of density**

At distances where overlap starts to be noticeable, new components coming from the two-center distributions appear in

the density. They depend on the antisymmetry and spin of the wavefunction, and increase so rapidly with the overlap that, when distance decreases, they quickly dominate over Van der Waals forces.

In order to illustrate them, we will go back to the  $H_2$ example. In this molecule, overlap becomes negligible at internuclear distances above 10 bohr. We have carried out CISD calculations using the aforementioned STO basis set in the range of distances between 7 and 10 bohr for the  ${}^{3} \Sigma_u$ and  ${}^{1}\Sigma_{g}$  states, and analyzed the density, finding that density deformations have now components coming from both the one- and two-center distributions. There is no problem to expand the first ones in spherical harmonic times radial factors. Moreover, the DAM package enables to expand the two-center distributions after partitioning them with the minimal deformation criterion. Figures [3](#page-6-0) and [4](#page-6-1) show separately the two contributions to the dipole-type deformations  $z_1^0(\mathbf{r}) f_{10}(r)$ , of the density in the triplet and singlet. Notice that this is the main deformation term because, as remarked above, it entirely determines the dominant self-pulling force and gives the main contribution to the interatomic force at these distances.

A glance at these figures is sufficient to see that the new terms play opposite roles in the triplet and singlet states. In the triplet the new component of the dipole term opposes to the previously existing one (associated to the attractive Van der Waals force) and generates a repulsive force that increases with the overlap, becoming quickly dominant when distance decreases.

On the contrary, in the singlet, the new component reinforces the Van der Waals force thus increasing the net attractive force when the atoms approach each other. Figure [5](#page-7-0) shows the variation of net forces and energy with the internuclear distance for both states. We include Kolos' [\[50\]](#page-12-35) results for comparison.

*Repulsive forces associated to density deformations like that of the triplet will be called Pauli forces, whereas the attractive ones like in singlet are the so-called bond forces*.

Since the terms associated to Pauli and bond forces are caused by antisymmetry and spin of the wavefunction, it is reasonable to expect qualitatively correct results, even from rough approximations to the wavefunction, provided that antisymmetry and spin are taken into account properly.

As an illustrating example, we will take the antisymmetrized spin-adapted products of the solutions of the isolated H atoms–see [\(22\)](#page-3-1), which are the well known Heitler–London wavefunctions of  $H_2$ . Taking the function for the singlet, the density is

<span id="page-5-2"></span>
$$
\rho_s^{\alpha\beta} = \frac{1}{1+\lambda^2} \left[ a(\mathbf{r}) a(\mathbf{r}) + b(\mathbf{r}) b(\mathbf{r}) + 2\lambda a(\mathbf{r}) b(\mathbf{r}) \right]
$$
\n(34)



<span id="page-6-0"></span>**Fig. 3** Density deformations responsible for the Pauli forces in the <sup>3</sup>Σ<sub>*u*</sub> of H<sub>2</sub>. Contributions to the dipole term in electron/bohr<sup>3</sup>. *Dotted lines* two-center, *dashed lines* one-center, *solid lines* total. *Upper R* = 8.0 bohr; *middle R* = 7.8 bohr; *lower R* = 7.6 bohr

and taking that of the triplet

<span id="page-6-3"></span>
$$
\rho_t^{\alpha\beta} = \frac{1}{1 - \lambda^2} \left[ a(\mathbf{r}) a(\mathbf{r}) + b(\mathbf{r}) b(\mathbf{r}) - 2\lambda a(\mathbf{r}) b(\mathbf{r}) \right] \quad (35)
$$
  
where  $a(\mathbf{r}) = \left(\frac{\xi^3}{\pi}\right)^{1/2} e^{-\xi r_\alpha}, b(\mathbf{r}) = \left(\frac{\xi^3}{\pi}\right)^{1/2} e^{-\xi r_\beta},$  and

 $\lambda = \langle a(\mathbf{r}) | b(\mathbf{r}) \rangle.$ 

Using again a lined-up axis system, the force on  $\alpha$  provided by this simple model is



<span id="page-6-1"></span>**Fig. 4** Density deformations responsible for the bond forces in the <sup>1</sup>Σ*<sup>g</sup>* of H2. Contributions to the dipole term in electron/bohr3. *Dotted lines* two-center, *dashed lines* one-center, *solid lines* total. *Upper R* =  $8.0$  bohr; *middle R* = 7.8 bohr; *lower R* = 7.6 bohr

<span id="page-6-2"></span>
$$
F_z^{\alpha}(R) = \frac{1}{R} - \frac{1}{1 \pm \lambda^2} \frac{\zeta^3}{\pi} \int d\mathbf{r} \frac{z_1^0(\mathbf{r})}{r^3} \left[ e^{-2\zeta r} + e^{-2\zeta r_{\beta}} \right]
$$
  
 
$$
\pm 2\lambda e^{-\zeta r} e^{-\zeta r_{\beta}} \Big]
$$
(36)

where the plus sign holds for singlet, and the minus for triplet. Expressions for all these integrals have been recently reported [\[51\]](#page-12-36). Using them, the force can be easily obtained as an explicit function of *R* and, by integrating the force with Eq. [12,](#page-2-0) the energy can be obtained as well. In order to give



<span id="page-7-0"></span>**Fig. 5** Full CI net forces (*upper plate*) in µhartree/bohr, and energies (*lower plate*) in  $\mu$ hartree for the <sup>3</sup> $\Sigma_u$  and <sup>1</sup> $\Sigma_g$  of H<sub>2</sub>. Kolos' energies (×) included for comparison

an idea of what one can expect from this simple approach, we depict in Fig. [6](#page-7-1) the force of Eq. [\(36\)](#page-6-2) for the triplet (with  $\zeta = 1$ ) together with the leading term,  $-6C_6/R^7$ , of the Van der Waals force and their sum. Moreover, we have included the CI reference force—see Fig. [5—](#page-7-0)for comparison. Clearly, although the simple Heitler–London density cannot describe the Van der Waals forces, it gives the correct trend for Pauli force.

The underlying idea of this approach is that the antisymmetrized spin-adapted products of the wavefunctions of the isolated systems give correct trends for the density deformations responsible for Pauli and bond forces. The extension of this idea would enable to carry out qualitative analysis and predictions of the forces acting on complex systems from simple models of the density. We will illustrate how this idea can be extended by means of a couple of examples.

Let  $\alpha$  and  $\beta$  be two closed shell systems that, when isolated, are described by two closed shell determinants  $\Psi^{\alpha}$  =  $|\phi_1^{\alpha} \overline{\phi}_1^{\alpha} \dots \phi_{n_{\alpha}}^{\alpha} \overline{\phi}_{n_{\alpha}}^{\alpha}|$  and  $\Psi^{\beta} = |\phi_1^{\beta} \overline{\phi}_1^{\beta} \dots \phi_{n_{\beta}}^{\beta} \overline{\phi}_{n_{\beta}}^{\beta}|$ . The antisymmetrized singlet product of these functions is

$$
\Psi^{\alpha\beta} = |\phi_1^{\alpha} \overline{\phi}_1^{\alpha} \dots \phi_{n_{\alpha}}^{\alpha} \overline{\phi}_{n_{\alpha}}^{\alpha} \phi_1^{\beta} \overline{\phi}_1^{\beta} \dots \phi_{n_{\beta}}^{\beta} \overline{\phi}_{n_{\beta}}^{\beta} |.
$$
 (37)



<span id="page-7-1"></span>**Fig. 6** Components of Pauli and Van der Waals forces (in  $\mu$ hartree/bohr) for the <sup>3</sup> $\Sigma_u$  of H<sub>2</sub> according to the Heitler–London plus dispersion model. *Dark solid line* Pauli force; *light solid* dispersion; *dotted* net force. Full CI net force (*dashed*) included for comparison

Since this function is invariant under nonsingular transformations of the occupied orbitals, one can carry out rotations in the sets  ${\{\phi_i^{\alpha}\}}_{i=1}^{n_{\alpha}}$  and  ${\{\phi_i^{\beta}\}}_{i=1}^{n_{\beta}}$  to obtain new orbitals:  ${a_i}\}_{i=1}^{n_{\alpha}}$ ,  ${b_i}_{i=1}^{n_\beta}$  such that

<span id="page-7-3"></span>
$$
\langle a_i | a_j \rangle = \delta_{ij} \langle b_i | b_j \rangle = \delta_{ij} \langle a_i | b_j \rangle = \lambda_i \delta_{ij}. \tag{38}
$$

In terms of these orbitals, the approximate wavefunction is

<span id="page-7-2"></span>
$$
\Psi^{\alpha\beta} = |a_1 \overline{a}_1 \dots a_{n_\alpha} \overline{a}_{n_\alpha} b_1 \overline{b}_1 \dots b_{n_\beta} \overline{b}_{n_\beta}| \tag{39}
$$

and the density derived with the aid of Lowdin rules [\[52](#page-12-37)], assuming  $n_\beta > n_\alpha$ , is and the densi<br>assuming  $n_\beta$ <br> $\rho^{\alpha\beta}(\mathbf{r}) = \sum_{n_\alpha}^{n_\alpha}$ 

$$
\rho^{\alpha\beta}(\mathbf{r}) = \sum_{i=1}^{n_{\alpha}} \frac{2}{1 - \lambda_1^2} \left[ a_i(\mathbf{r}) a_i(\mathbf{r}) + b_i(\mathbf{r}) b_i(\mathbf{r}) \right]
$$

$$
-2 \lambda_i a_i(\mathbf{r}) b_i(\mathbf{r}) + \sum_{i=n_{\alpha}+1}^{n_{\alpha}+n_{\beta}} 2 b_i(\mathbf{r}) b_i(\mathbf{r}) \qquad (40)
$$

Clearly, the overlapping orbitals ( $\lambda_i \neq 0$ ) behave very much like those of the triplet of  $H_2$ —see Eq. [\(35\)](#page-6-3)— and, therefore, the associated density deformations must generate Pauli forces.

As a specific illustration, we have used the Hartree–Fock wavefunction [\[53\]](#page-12-38) of the isolated He in Eq. [\(39\)](#page-7-2) to approximate the Pauli forces of the He<sub>2</sub> system as a function of *R*. Results are depicted in Fig. [7](#page-8-0) together with the leading term of the Van der Waals force [\[54](#page-12-39)[,55](#page-12-40)],  $-\frac{6c_6}{R^7}$ , and their sum. Moreover, the energy was obtained by integrating the force. This simple model predicts a well with a deep of 23  $\mu$ hartree at  $R_e = 5.83$  bohr. The currently accepted values [\[54,](#page-12-39)[55\]](#page-12-40) are 34.7  $\mu$  hartree and 5.61 bohr, so that the approximate results are qualitatively correct and quantitatively not too bad, given the crudeness of the model.

As a second example, we will consider two radicals that approach each other to form a closed shell molecule with a single bond. Assuming that the radicals are described by



<span id="page-8-0"></span>**Fig. 7** Competence between Pauli and Van der Waals forces (in  $\mu$ hartree/bohr) for the <sup>1</sup> $\Sigma_g$  of He<sub>2</sub> according to the Heitler–London plus dispersion model. *Dark solid line* Pauli force; *light solid* dispersive force; *dotted* net force

single open-shell restricted Hartree–Fock (OSRHF) determinants:  $\Psi^{\alpha} = \phi_1^{\alpha} \phi_2^{\alpha} \overline{\phi}_2^{\alpha} \dots \phi_{n_{\alpha}}^{\alpha} \overline{\phi}_{n_{\alpha}}^{\alpha} \vert$  and  $\Psi^{\beta} = \psi_1^{\beta} \phi_2^{\beta}$  $\overline{\phi}^{\beta}_2 \dots \phi^{\beta}_{n_{\beta}} \overline{\phi}^{\beta}_{n_{\beta}}|$  , and carrying out the biorthogonalization of both sets of occupied orbitals—Eq. [\(38\)](#page-7-3)— the adduct wavefunction for the singlet can be written as

<span id="page-8-1"></span>
$$
\Psi^{\alpha\beta} = |a_1 a_2 \overline{a}_2 ... a_{n_\alpha} \overline{a}_{n_\alpha} \overline{b}_1 b_2 \overline{b}_2 ... b_{n_\beta} \overline{b}_{n_\beta}|
$$
  
- 
$$
|\overline{a}_1 a_2 \overline{a}_2 ... a_{n_\alpha} \overline{a}_{n_\alpha} b_1 b_2 \overline{b}_2 ... b_{n_\beta} \overline{b}_{n_\beta}|.
$$
 (41)

Now, applying Lowdin rules and taking into account Eq. [\(38\)](#page-7-3) one obtains

<span id="page-8-2"></span>
$$
\rho^{\alpha\beta} = \frac{1}{1 + \lambda_1^2} [a_1(\mathbf{r})a_1(\mathbf{r}) + b_1(\mathbf{r})b_1(\mathbf{r}) + 2\lambda_1a_1(\mathbf{r})b_1(\mathbf{r})]
$$
  
+ 
$$
\sum_{i=2}^{n_{\alpha}} \frac{2}{1 - \lambda_1^2} [a_i(\mathbf{r})a_i(\mathbf{r}) + b_i(\mathbf{r})b_i(\mathbf{r})
$$
  
- 
$$
2\lambda_i a_i(\mathbf{r})b_i(\mathbf{r})] + \sum_{i=n_{\alpha}+1}^{n_{\alpha}+n_{\beta}} 2b_i(\mathbf{r})b_i(\mathbf{r}).
$$
 (42)

It is evident from this expression that overlapping orbitals of the closed shells give, as in the previous case, density deformations that generate Pauli forces, but the orbitals in the open shell behave just like those of the singlet of  $H_2$ — Eq. [\(34\)](#page-5-2)—and, therefore, yield bond forces. Clearly, the behavior of these systems will be ruled by the competition between their Pauli and bond forces, and their structure relaxations can be predicted on the basis that it must tend to follow these forces, a process which necessarily will lower the energy.

To illustrate this, we will consider two methyl radicals faced to one another at a distance  $R_{CC} = 3.5$  bohr (larger than the equilibrium distance). OSRHF calculations with the VB1 STO basis set [\[56](#page-12-41)] (again with SMILES and MOL-PRO) give for isolated radical  $CH<sub>3</sub>$  a planar structure with  $R_{CH}$  =2.042 bohr. Taking the OSRHF function of the isolated methyl groups in Eqs. [\(41\)](#page-8-1) and [\(42\)](#page-8-2), a rough density can



<span id="page-8-3"></span>**Fig. 8** Competence between Pauli and bond forces in two faced methyl groups at  $R_{CC} = 3.5$  bohr: planar (*upper*) and released (*lower*) conformations. Forces in arbitrary units

be obtained whose forces, schematized in the upper plate of Fig. [8,](#page-8-3) tend to bend the C–C–H angle. The CAS (2,2) calculation with this basis set gives at zero Pauli force (the minimum of energy for this C–C distance) a C–C–H angle of 107◦ —see lower plate of Fig. [8—](#page-8-3)so that, once again, the simple model gives the correct trend.

#### **5 Nuclear unscreening**

According to Eq. [11,](#page-2-3) every nucleus is repelled by the other nuclei and attracted by the electron cloud. As the electron density is strongly localized around the nuclei, for nuclei placed far away, the repulsive effect of their charges will be almost completelly cancelled out by the cloud around them. However, the electron screening will decrease as the nuclei approach each other and the corresponding repulsive forces will increase without any bound. These repulsive contributions will be called *nuclear unscreening forces*, and play an important role in determining the molecular conformations, because they impede the nuclear collapse under the effect of bond forces.

In order to better understand these forces, it is convenient to consider a partition of the density into fragments associated to nuclei In order<br>to consider<br>to nuclei<br> $\rho(\mathbf{r}) = \sum_{n=1}^{\infty}$ 

<span id="page-8-4"></span>
$$
\rho(\mathbf{r}) = \sum_{A} \rho^{A}(\mathbf{r})
$$
\n(43)

whose detailed shapes are irrelevant provided that Eq. [\(43\)](#page-8-4) is fulfilled and that every fragment bears almost all the charge distribution around its associated nucleus. Once the partition

has been made, the density of each fragment can be separated

<span id="page-9-4"></span>
$$
\rho^{A}(\mathbf{r}_{A}) = \rho_{00}^{A}(r_{A}) + \Delta_{A}(\mathbf{r})
$$
\n(44)

in a spherical part which, after integration over the whole space, gives the total charge of  $\rho^{A}(\mathbf{r}_{A})$ 

<span id="page-9-0"></span>
$$
\rho_{00}^A(r_A) = \frac{1}{4\pi} \int_{0}^{2\pi} d\phi_A \int_{0}^{\pi} \sin \theta_A d\theta_A \rho^A(\mathbf{r})
$$
(45)

and a deformation part  $\Delta_A(\mathbf{r})$ , containing terms other than the spherical one, which integrate to zero. By combining Eqs.  $(43)$ ,  $(45)$  with  $(11)$ , one obtains

<span id="page-9-1"></span>
$$
\mathbf{F}_A = \zeta_A \sum_B \int \mathrm{d}\mathbf{r} \ \Delta^B(\mathbf{r}) \ \frac{\mathbf{r} - \mathbf{R}_A}{|\mathbf{r} - \mathbf{R}_A|^3}
$$

$$
- \zeta_A \sum_{B \neq A} \zeta_B^{\text{eff}} \ \frac{\mathbf{R}_B - \mathbf{R}_A}{|\mathbf{R}_B - \mathbf{R}_A|^3} \tag{46}
$$

<span id="page-9-2"></span>where

where  
\n
$$
B \neq A
$$
\nwhere  
\n
$$
|\mathbf{R}_{A} - \mathbf{R}_{B}|
$$
\n
$$
\zeta_{B}^{\text{eff}} = \zeta_{B} - 4\pi \int_{0}^{|\mathbf{R}_{A} - \mathbf{R}_{B}|} d\mathbf{r}_{B} r_{B}^{2} \rho_{00}^{B}(r_{B}).
$$
\n(47)

The first term in the r.h.s. of Eq. [\(46\)](#page-9-1) determines how the force on *A* is affected by the deformation of the clouds around the nuclei. As discussed above, it determines the Van der Waals forces at long distances, and Pauli and bond forces at intermediate ones.

The second term gives the components of the force due to the spherical parts of the clouds. These are the *nuclear unscreening forces*, the simplest ones of the four types. They are directed along the internuclear axis, necessarily repulsive for  $|\mathbf{R}_B - \mathbf{R}_A|$  small, and quickly increase boundless as  $|\mathbf{R}_B - \mathbf{R}_A|$  tends to zero.

Since the spherical terms contain *all the electronic charge*, at medium distances, the unscreening forces take the form of *charge transfer forces* which can be easily understood from the simple Gauss theorem. Note in particular that, if  $\rho_{00}^B(r_B)$  is everywhere positive, as it must be, a fragment *B* with zero or positive net charge has  $\zeta_B^{\text{eff}} > 0$  and, therefore, its contribution to the second term of Eq. [\(46\)](#page-9-1) will be repulsive. However, if *B* has negative net charge, the nature of this contribution depends on the distance  $|\mathbf{R}_A - \mathbf{R}_B|$ . At sufficiently long distance  $\zeta_B^{\text{eff}}$  will be the negative charge of *B*—see Eq. [\(47\)](#page-9-2)— $\zeta_B^{\text{eff}} < 0$ , and the contribution in Eq. [\(46\)](#page-9-1) is attractive but, since the integral of Eq. [\(46\)](#page-9-1) decays with  $|\mathbf{R}_A - \mathbf{R}_B|$ , at small distance  $\zeta_B^{\text{eff}} > 0$  and the contribution to [\(46\)](#page-9-1) is repulsive.

It must be stressed that, due to the absence of cloud to cloud interactions in the Hellmann–Feynman context, the conventional ideas about the electrostatic forces involving ions must be carefully reviewed. As an illustrative example, we will consider a pair formed by positive and negative spherical



<span id="page-9-3"></span>**Fig. 9** Energy of F<sub>2</sub> as a function of the internuclear distance at RHF, CAS and MRCI levels

ions. According to the previous discussion, in the Hellmann– Feynman framework the positive ion repells the nucleus of the negative partner at all distances, whereas this latter will attract the former at long separation but repell it at short distance.

In the conventional electrostatic view, each ion is regarded as a cloud-nucleus unit and all interaction forces are taken into account, including the repulsion between clouds. However, this repulsion makes sense only in a two-electron interaction which is completely absent in the Hellmann–Feynman framework, a fact that is not always considered [\[57](#page-12-42)].

As an illustrative example we choose here the  $F_2$  molecule. Energy curves obtained from RHF, valence CAS and MRCI (including all single and double excitations over valence CAS) are plotted in Fig. [9.](#page-9-3) They were computed with the FVB1 STO basis set, using again SMILES for STO integrals and MOLPRO for energy optimization.

The difficulties in computations of this molecule are widely known. The RHF curve lies entirely over the RHF energy of the separated atoms and has a minimum at a too short distance:  $R_e(RHF) = 2.52$  bohr. The CAS curve is qualitatively correct, with  $R_e(CAS) = 2.80$  bohr, slightly longer than the experimental value [\[58](#page-12-43)]:  $R_e$  (exp) = 2.71 bohr, and the well depth, about 16 kcal mol<sup>-1</sup>, is much smaller than the experimental value [\[58](#page-12-43)], of 38.3 kcal mol<sup>-1</sup>. The MRCI gives the correct equilibrium distance  $R_e(MRCI) = 2.71$ bohr but the well depth—about 30 kcal mol<sup>−</sup>1—is still low.

These difficulties can be predicted from the analysis of the forces. The  $F_2$  molecule is isoelectronic to ethane and, therefore, like in this, there is a competence between valence Pauli and bond forces. However, since Pauli forces cannot be minimized by means of a conformational relaxation, this minimization is accomplished through a relaxation of the electronic structure whose description requires high level calculations.

The atomic density deformations of  $F_2 - \Delta_A(\mathbf{r})$  of Eq. [\(44\)](#page-9-4) given by DAM– plotted in Fig. [10](#page-10-0) exhibit a dominant quadrupole type shape. Since this quadrupole deformation yields



<span id="page-10-0"></span>**Fig. 10** Density deformations of F<sub>2</sub> (*upper*) and its dipole components (*lower*) from the MRCI density at the equilibrium distance. Countour surface of  $\pm 0.04$  electron/bohr $\frac{3}{2}$ 

repulsive external forces and zero internal force, in absence of terms other than quadrupole, the molecule could not be stable. A more detailed decomposition of  $\Delta \rho(\mathbf{r})$  in terms with  $l = 1, 2, \ldots$  shows—see lower plate of Fig. [10—](#page-10-0)small dipole terms with two lobes embedded in  $\Delta \rho(\mathbf{r})$ . The innermost is sharply concentrated close to the nucleus, yieldig attractive forces, whereas the outermost is diffuse and repulsive. The internal net force results from the balance of the opposite effects of these terms, and is attractive because the first term dominates over the second.

Note, by the way, that this rearrangement of the charge in the binding region yields attractive forces without the charge transfer from antibinding to binding regions required by Berlin's condition [\[6](#page-12-4)], a fact first recognized by Spackman [\[59](#page-12-44)].

Figure [11](#page-10-1) shows the forces acting on a nucleus of  $F_2$  as computed with the DAM package from the RHF, CAS and MRCI densities. The components of the force arising from density deformations (upper plate) and those coming from the spherical part of the cloud (nuclear unscreening force, lower plate) are depicted separately. The repulsive curve of Fig. [11](#page-10-1) (upper plate) is mainly the external force caused by the quadrupole-type deformation, and is clearly incorrect in case of RHF. Attraction is due to self-pulling, i.e., to the dipole term of the density deformations, thus coming from the subtle balance of the two opposite effects discussed above. Since these forces are sensitive to errors in the small density deformations, high level calculations are required to obtain accurate results. This sensitiveness contrasts with the strong stability against changes in the computational level of the nuclear unscreening forces shown in Fig. [11](#page-10-1) (lower plate). As stressed above, the nuclear unscreening forces are quantitatively correct even for the basically wrong RHF density.

## **6 Conclusions**

The attractive Van der Waals and bond forces and the repulsive Pauli forces are mainly caused by the small deformations



<span id="page-10-1"></span>**Fig. 11** Forces on nuclei (hartree/bohr) of  $F_2$  as functions of the internuclear distance for RHF (*dotted lines*), CAS (*dashed*) and MRCI (*solid*) densities. *Upper plate* external repulsive (positive) and selfpulling (negative) forces caused by the density deformations. *Lower plate* nuclear unscreening forces

produced in the atomic densities by the molecular environment, and can be related to the self-pulling of the nuclei by the dipole-type terms imbedded in these deformations.

The nuclear unscreening forces are due to the spherical clouds around the nuclei. At medium distances they take the form of charge transfer forces between positive and negative pairs, that cannot produce stable systems and, at short distances, they are repulsive.

The interaction of two stable closed shell molecules or atoms in the region of low (but not negligible) overlap of their wavefunctions is determined by the competence between Van der Waals and Pauli forces. Models for density obtained from the antisymmetrized spin-adapted products of simple wavefunctions of the isolated monomers are often sufficient to give correct approximations to Pauli forces. Therefore, if approximations to Van der Waals forces are available, one can make reasonable predictions on the geometry and stability of Van der Waals complexes.

At moderate distances (near equilibrium) Pauli, bond and nuclear unscreening forces compete. Since the latter depend on the spherical parts of the clouds, their effects can be easily understood from Gauss theorem and can be easily described

by rough wavefuntions, so that their values are almost insensitive to the method employed for computing the density. On the contrary, the small density deformations that cause the Pauli and bond forces can be strongly dependent on the environment and high level calculation are often needed to obtain correct descriptions.

Chemical forces depend on the density and every partition of density in chemically meaningful contributions is accompanied by the corresponding decomposition of the forces and conversely. Obviously, this decomposition is not unique, and a particular choice is a matter of mere convenience.

The partition chosen herein is aimed at supporting on density the forces usually employed in chemistry. Van der Waals, bond and Pauli forces, which belong to the common chemical language—though the latter are controversial [\[60](#page-12-45)[–63\]](#page-12-46) —are herein associated to specific deformations of the density. Nuclear unscreening forces are not usually considered but are necessary in order to complete the total force.

We note finally that, in the Hellmann–Feynman approach, all this four types of forces are rooted in the density, thus having a similar conceptual support. In a different approach, in which for instance the separation of the total force into components is considered useless, one may say that *Pauli repulsions exist only in the eye of the beholder* [\[62\]](#page-12-47) but, with similar arguments, the existence of bond forces, Van der Waals and so forth can be likewise denied. This is clearly a perspective that sets the results of Quantum Mechanics far away from chemical intuition.

**Acknowledgment** This article is dedicated to the memory of Prof. Serafín Fraga, whose contributions to the development of Quantum Chemistry have been widely recognized, and whose enthusiastic support, encouraging suggestions, warm personality and priceless friendship have left us an indelible memory.

# Appendix: Dipole-type deformation on H<sub>2</sub> from pertur**bation theory**

The standard perturbative equations can be written in the form

<span id="page-11-0"></span>form  
\n
$$
(H^{(0)} - E^{(0)})\psi^{(n)} = (E^{(1)} - V)\psi^{(n-1)} + \sum_{i=2}^{n} E^{(i)}\psi^{(n-i)}
$$
\n(48)

where  $E^{(i)}$  and  $\psi^{(i)}$  are the successive corrections to energy and wavefunction, and  $H^{(0)}$  and *V* are the zeroth order hamiltonian and perturbation operator, respectively. The perturba-tion operator is given in Eq. [17,](#page-3-4) and for  $H_2$  at large distance reads

reads  
\n
$$
V = \sum_{l=1}^{\infty} \sum_{l'=1}^{\infty} \sum_{m=-l_{<}}^{l_{<}} \frac{(2 - \delta_{m,0})(l+l')!(-1)^{l'+|m|}}{(l+|m|)!(l'+|m|)!} \times \frac{z_{l}^{m}(\mathbf{r}) z_{l'}^{m}(\mathbf{r'})}{R^{l+l'+1}}
$$
\n(49)

with the real spherical harmonics defined by

$$
z_l^m(\mathbf{r}) = r^l z_l^m(\mathbf{r}/r)
$$
  
=  $r^l (-1)^m P_l^m(\cos \theta) \cos(m\phi) \quad m \ge 0$   

$$
z_l^m(\mathbf{r}) = r^l z_l^m(\mathbf{r}/r)
$$
  
=  $r^l (-1)^{|m|} P_l^{|m|}(\cos \theta) \sin(|m|\phi) m < 0.$  (50)

Grouping together the perturbative terms with the same power of *R*, the wavefunction can be written as

Grouping together the perturbative terms with the same power of *R*, the wavefunction can be written as\n
$$
\psi = \sum_{k=0}^{\infty} \frac{\phi^{(k)}}{R^k}
$$
\n(51)

where  $\phi^{(k)}$  can be expanded in products of spherical harmonics times radial factors which, in turn, are expressed as symmetrized products of exponentials times series of Laguerre<br>polynomials<br> $\phi^{(k)} = \sum \phi_{ir}^{(k)}$  (52) polynomials

$$
\phi^{(k)} = \sum_{ll'} \phi_{ll'}^{(k)} \tag{52}
$$

<span id="page-11-1"></span>where

where  
\n
$$
\phi_{ll'}^{(k)} = \hat{S} \sum_{m=-l}^{l} \sum_{m'=-l'}^{l'} z_l^m(\mathbf{r}) z_{l'}^{m'}(\mathbf{r'}) e^{-r-r'}
$$
\n
$$
\times \sum_{p} \sum_{q}^{P_{\text{max}}} c_{pq}^{(k)lml'm'} L_{p}^{2l_{1}+1}(2r) L_{q}^{2l_{2}+1}(2r')
$$
\n(53)

In this way, the perturbative Eq. [\(48\)](#page-11-0) take the form

and 
$$
\widehat{S}
$$
 is the symmetric. In this way, the perturbative Eq. (48) take the form  
\n
$$
(H^{(0)} - E^{(0)})\phi^{(k)} = \sum_{lm} \sum_{l'm'} z_l^m(\mathbf{r}) z_{l'}^{m'}(\mathbf{r'}) F_{lml'm'}^{(k)}(r, r')
$$
\n
$$
\times e^{-r-r'}
$$
\n(54)

and, after projection in the same basis of spherical harmonics times Laguerre functions, the  $c_{pq}^{(k)lml'm'}$  are obtained solving a linear system of equations.

To obtain the non-vanishing contribution of order *R*−<sup>7</sup> to the dipole term of the density,  $\rho_{10}(\mathbf{r})$ , one needs the first order,  $\phi_{11}^{(3)}$ ,  $\phi_{21}^{(4)}$ , and second order,  $\phi_{10}^{(7)}$ , corrections. Thus, one has

$$
\rho_{10}(\mathbf{r}) = \frac{2}{R^7} \frac{3}{4\pi r} \left\{ z_1^0(\mathbf{r}_\alpha) \int_0^{2\pi} d\phi_\alpha \int_0^{\pi} d\theta_\alpha \sin \theta_\alpha \ z_1^0(\mathbf{r}_\alpha/r_\alpha) \right\}
$$

$$
\times \int_{0}^{\infty} d\mathbf{r}' \Big[ \phi_{11}^{(3)}(\mathbf{r}, \mathbf{r}') \phi_{21}^{(4)}(\mathbf{r}, \mathbf{r}') + \phi_{00}^{(0)}(\mathbf{r}, \mathbf{r}') \phi_{10}^{(7)}(\mathbf{r}, \mathbf{r}') \Big]
$$
  
+ $z_{1}^{0}(\mathbf{r}_{\beta}) \int_{0}^{2\pi} d\phi_{\beta} \int_{0}^{\pi} d\theta_{\beta} \sin \theta_{\beta} z_{1}^{0}(\mathbf{r}_{\beta}/r_{\beta})$   
 $\times \int_{0}^{\infty} d\mathbf{r}' \Big[ \phi_{11}^{(3)}(\mathbf{r}, \mathbf{r}') \phi_{21}^{(4)}(\mathbf{r}, \mathbf{r}') + \phi_{00}^{(0)}(\mathbf{r}, \mathbf{r}') \phi_{10}^{(7)}(\mathbf{r}, \mathbf{r}') \Big] \Big]$   
=  $\frac{1}{R^{7}} \Big[ z_{1}^{0}(\mathbf{r}_{\alpha}) e^{-2r_{\alpha}} P_{7}(r_{\alpha}) + z_{1}^{0}(\mathbf{r}_{\beta}) e^{-2r_{\beta}} P_{7}(r_{\beta}) \Big]$ (55)

where  $P_7(r)$  is a power series of r as defined in the main text.

In practice,  $p_{\text{max}}$  of Eq. [\(53\)](#page-11-1) was increased in order to attain convergence in the  $P_7(r)$  series up to eight decimal figures.

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